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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/554,463	04/19/2000	GIOK DJIEN GO		6174

7590 03/19/2004

DR ING GIOK DJIEN GO
PFAHLGRABENSTR 45
IDSTEIN, D65510
GERMANY

EXAMINER	
DRAPER, DEANN L	
ART UNIT	**PAPER NUMBER**
3616	

DATE MAILED: 03/19/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

SK

Interview Summary	Application No.	Applicant(s)	
	09/554,463	GO, GIOK DJIEN	
	Examiner	Art Unit	
	Deanna L. Draper	3616	

All participants (applicant, applicant's representative, PTO personnel):

(1) *Deanna L. Draper*. (3)_____.

(2) *Dr. Giok Djien Go*. (4)_____.

Date of Interview: *15 March 2004*.

Type: a)☒ Telephonic b)☐ Video Conference
c)☐ Personal [copy given to: 1)☐ applicant 2)☐ applicant's representative]

Exhibit shown or demonstration conducted: d)☐ Yes e)☒ No.
If Yes, brief description: _____.

Claim(s) discussed: *n/a*.

Identification of prior art discussed: *n/a*.

Agreement with respect to the claims f)☐ was reached. g)☐ was not reached. h)☒ N/A.

Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: *See Continuation Sheet*.

(A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.)

THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN ONE MONTH FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.

3/17/04

PAUL N. DICKSON
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 3600

Examiner Note: You must sign this form unless it is an Attachment to a signed Office action.

Deanna Draper
Examiner's signature, if required

Summary of Record of Interview Requirements

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record
A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews
Paragraph (b)
In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.
All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:
- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:
1) A brief description of the nature of any exhibit shown or any demonstration conducted,
2) an identification of the claims discussed,
3) an identification of the specific prior art discussed,
4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
5) a brief identification of the general thrust of the principal arguments presented to the examiner,
 (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
6) a general indication of any other pertinent matters discussed, and
7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record. .

Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

Continuation of Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: Dr. Go previously faxed a 'memo' to the office on March 9, 2004 (see attached). Dr. Go discussed some changes he'd like to make to the language in the claims, such as adding a comma between "assemblylocated" and specifying a "lap OR shoulder belt" vs. a "lap AND shoulder belt". I informed him that, since he is not under final, he can make an amendment when he responds to the previous office action, but that using "or" instead of "and" would broaden the claim. He also mentioned amended claims he'd like to add, which he discussed in the March 9 'memo'. I informed him that he would have to make those changes in his reply to the office action, rather than via the memo. .

Mrs. Deanne L. Draper
Art Unit 3616

703 872 9326
703 346 3585

Giok Djien Go
Pfahlgrabenstr. 45
D-65510 Idstein

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Office Action Summary mailed 01/20/2004; deadline 04/20/2004
US 09/554,463
My facsimile of 2004-02-19

Dear Mrs. Draper, 2004-03-07

May I please discuss with you on a phone call on March 9 or the subsequent days, if you are out of the your office on March 9, at 3 pm Washington local time or 9 pm European local time, the following cases:

a) I wish to extend the number of the amended claims by including the claims 4, 5, 26 and 27 of the translation of DE 197 49 780 C2 (EP 1 037 773 B1), submitted to USPTO on Oct. 8, 2000.
 1. The claims 4 and 5 and specification pp. 1/ lines 35-36, pp. 3/ lines 44-47, pp. 5/ lines 37-38, pp. 6/ lines 32-46 and pp. 8/ lines 38-39 (anti-submarining buckle assemblies 7, 8, 8a to 8d) address the measures against submarining.
 2. The claims 26 and 27 and specification pp. 3/ lines 18-28 and pp. 7/ lines 36-37 address the shoulder-belt-portion deflectors, superior to the turning mechanism ref. to US 5,599,070, to loosely guide the shoulder belt portion over the torso.

b) The references, you cited, US 6,145,881, 6,375,270 and 6,076,894 were filed to USPTO on 01/05/99, 01/03/2000 and 02/26/99 after the national and international prior date of all four divisional appls, above-mentioned, on 11/11/97 and 11/10/98. The remaining references that you cited and the ones "US 3,977,696, US 5,123,673, US 5,411,319....", briefly described in pp. 3 line 4 to 5, are outlined in the amended specification.

c) Reference numeral is found in the claims of the patent docs US 6,145,881, Re. 34,051 etc. Do you wish to have claims without reference numeral?

d) When you grant patent thereon, USPTO will charge me
 1. a publication fee (Free code 195) of $ 300 and
 2. patent maintenance fees of $ 440 (183/283), $ 1,010 (184/284) or $ 1,550 (185/285).
 Any other fees? Does USPTO allow me to choose one of the patent maintenance fees linked to the respective maintenance periods?

I have already met the president of one of the three US-car companies, who, after having listened my explanation over my patents and the advantages, carried by himself all my patent docs, reports and papers, totally weighing 2.8 kg.

Thank you for your attention in advance.

Kind regards

Go

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Germany

USPTO-G6A2